

Table A-4.1. **Securities and Commodity Contracts Intermediation and Brokerage (NAICS 523)—Estimated Coefficients of Variation for Revenue and Year-to-Year Ratios for Employer and Nonemployer Firms: 2001 and 2002**

[Estimates are based on data from the 2002 Service Annual Survey and administrative data and are published as percents. Estimates for 2001 are revised to reflect the latest administrative data for nonemployers]

NAICS code	Kind of business	2002	2001	Year-to-year ratio 2002/2001
5231	Securities and commodity contracts intermediation and brokerage ..	1.3	<sup>r</sup> 1.1	0.8
52311	Investment banking and securities dealing .....	2.1	<sup>r</sup> 1.4	1.4
52312	Securities brokerage .....	1.8	<sup>r</sup> 2.0	0.9
52313	Commodity contracts dealing .....	5.3	3.7	5.9
52314	Commodity contracts brokerage .....	2.4	3.0	1.9

<sup>r</sup>Revised.

Note: The introduction and appendices give information on confidentiality protection, sampling error, nonsampling error, sample design, and definitions. Links to this information on the Internet may be found at [www.census.gov/svsd/www/cv.html](http://www.census.gov/svsd/www/cv.html).

Table A-4.2. **Securities, Commodity Contracts, and Other Financial Investment Activities (NAICS 523)—Estimated Coefficients of Variation for Revenue and Year-to-Year Ratios for Employer Firms: 2001 and 2002**

[Estimates are based on data from the 2002 Service Annual Survey and administrative data and are published as percents]

NAICS code	Kind of business	2002	2001	Year-to-year ratio 2002/2001
523x	Securities, commodity contracts, and other financial investment activities <sup>1</sup> .....	1.3	<sup>r</sup> 1.0	0.7
5231	Securities and commodity contracts intermediation and brokerage .....	1.4	<sup>r</sup> 1.1	0.9
52311	Investment banking and securities dealing .....	2.1	<sup>r</sup> 1.5	1.5
52312	Securities brokerage .....	1.9	<sup>r</sup> 2.1	0.9
52313	Commodity contracts dealing .....	6.0	4.1	6.7
52314	Commodity contracts brokerage .....	2.8	3.5	2.2
5239x	Other financial investment activities <sup>2</sup> .....	2.7	<sup>r</sup> 2.2	1.6
52392	Portfolio management .....	3.4	<sup>r</sup> 2.5	2.2
52393	Investment advice .....	5.2	4.7	3.3

<sup>r</sup>Revised.

<sup>1</sup>Includes NAICS 5231 (securities and commodity contracts intermediation and brokerage) and NAICS 5239 (other financial investment activities).

<sup>2</sup>Excludes NAICS 52391 (miscellaneous intermediation) and NAICS 52399 (all other financial investment activities).

Note: The introduction and appendices give information on confidentiality protection, sampling error, nonsampling error, sample design, and definitions. Links to this information on the Internet may be found at [www.census.gov/svsd/www/cv.html](http://www.census.gov/svsd/www/cv.html).

Table A-4.3. **Securities, Commodity Contracts, and Other Financial Investments Activities (NAICS 523)—Estimated Coefficients of Variation for Export Revenue and Year-to-Year Ratios for Employer Firms: 2001 and 2002**

[Estimates are based on data from the 2002 Service Annual Survey and administrative data and are published as percents]

NAICS code	Kind of business	2002	2001	Year-to-year ratio 2002/2001
523x	Securities, commodity contracts, and other financial investment activities <sup>1</sup> .....	13.8	<sup>r</sup> 10.3	5.4
5231	Securities and commodity contracts intermediation and brokerage .....	5.4	<sup>r</sup> 3.8	4.1
52311	Investment banking and securities dealing .....	2.4	<sup>r</sup> 1.7	1.7
52312	Securities brokerage .....	10.3	9.2	1.8
52313	Commodity contracts dealing .....	S	1.1	S
52314	Commodity contracts brokerage .....	S	S	S
5239x	Other financial investment activities <sup>2</sup> .....	S	S	S
52392	Portfolio management .....	S	S	S
52393	Investment advice .....	16.6	7.4	12.2

S Data do not meet publication standards because of high sampling variability or poor response quality.

<sup>r</sup>Revised.

<sup>1</sup>Includes NAICS 5231 (securities and commodity contracts intermediation and brokerage) and NAICS 5239 (other financial investment activities).

<sup>2</sup>Excludes NAICS 52391 (miscellaneous intermediation) and NAICS 52399 (all other financial investment activities).

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**Table A-4.4. Securities, Commodity Contracts, and Other Financial Investments and Related Activities (NAICS 523)—Estimated Coefficients of Variation for Sources of Revenue and Year-to-Year Ratios for Employer Firms: 2001 and 2002**

[Estimates are based on data from the 2002 Service Annual Survey and administrative data and are published as percents]

Item	2002	2001	Year-to-year ratio 2002/2001
<b>SECURITIES, COMMODITY CONTRACTS, AND OTHER FINANCIAL INVESTMENTS AND RELATED ACTIVITIES<sup>1</sup> (NAICS 523X)</b>			
<b>Operating Revenue</b>			
<b>Total</b> .....	<b>1.3</b>	<b><sup>1</sup>1.0</b>	<b>0.7</b>
Commissions from the sale of securities and commodities .....	2.0	<sup>1</sup> 2.4	1.3
Gains (losses) on trading accounts in securities and commodities (net) ...	5.3	<sup>1</sup> 3.6	2.1
Interest income from trading accounts in securities .....	1.1	<sup>1</sup> 2.1	1.5
Gains (losses) from trading accounts in securities .....	10.1	<sup>1</sup> 5.9	3.9
Gains (losses) from trading accounts in commodities .....	2.5	<sup>1</sup> 1.6	3.0
Gains from underwriting and selling groups of securities (net) .....	1.5	<sup>1</sup> 1.6	0.8
MBS, CMO, and REMIC transactions .....	0.2	<sup>1</sup> 0.2	0.2
All other securities transactions .....	1.8	<sup>1</sup> 2.0	1.0
Gains on investment accounts (net) .....	S	S	S
Dividend income .....	6.9	<sup>1</sup> 6.0	2.2
Margin interest and other interest income .....	0.9	<sup>1</sup> 2.5	1.5
Other investment income .....	6.1	<sup>1</sup> 2.9	3.4
Asset/Portfolio management fees .....	2.2	<sup>1</sup> 1.9	1.2
Other revenue .....	2.1	<sup>1</sup> 2.7	2.1
<b>SECURITIES AND COMMODITY CONTRACTS INTERMEDIATION AND BROKERAGE (NAICS 5231)</b>			
<b>Operating Revenue</b>			
<b>Total</b> .....	<b>1.4</b>	<b><sup>1</sup>1.1</b>	<b>0.9</b>
Commissions from the sale of securities and commodities .....	2.0	<sup>1</sup> 2.5	1.2
Gains (losses) on trading accounts in securities and commodities (net) ...	5.1	<sup>1</sup> 3.8	1.9
Interest income from trading accounts in securities .....	0.9	<sup>1</sup> 2.5	1.6
Gains (losses) from trading accounts in securities .....	10.2	<sup>1</sup> 6.1	3.7
Gains (losses) from trading accounts in commodities .....	2.4	<sup>1</sup> 1.6	2.9
Gains from underwriting and selling groups of securities (net) .....	1.6	<sup>1</sup> 1.7	0.7
MBS, CMO, and REMIC transactions .....	0.2	<sup>1</sup> 0.2	0.2
All other securities transactions .....	1.8	<sup>1</sup> 2.1	0.9
Gains on investment accounts (net) .....	S	S	S
Dividend income .....	4.1	<sup>1</sup> 4.8	1.0
Margin interest and other interest income .....	0.5	<sup>1</sup> 0.8	0.7
Other investment income .....	4.6	<sup>1</sup> 1.0	4.8
Asset/Portfolio management fees .....	2.1	<sup>1</sup> 1.5	0.7
Other revenue .....	4.3	<sup>1</sup> 3.8	3.9
<b>OTHER FINANCIAL INVESTMENT ACTIVITIES (NAICS 5239x)<sup>2</sup></b>			
<b>Operating Revenue</b>			
<b>Total</b> .....	<b>2.7</b>	<b><sup>1</sup>2.2</b>	<b>1.6</b>
Commissions from the sale of securities and commodities .....	8.4	<sup>1</sup> 7.5	5.4
Gains (losses) on trading accounts in securities and commodities (net) ...	S	S	S
Interest income from trading accounts in securities .....	6.4	<sup>1</sup> 2.3	4.0
Gains (losses) from trading accounts in securities .....	S	S	S
Gains (losses) from trading accounts in commodities .....	S	S	S
Gains from underwriting and selling groups of securities (net) .....	S	S	S
MBS, CMO, and REMIC transactions .....	S	S	S
All other securities transactions .....	S	S	S
Gains on investment accounts (net) .....	S	S	S
Dividend income .....	S	S	S
Margin interest and other interest income .....	S	S	S
Other investment income .....	9.2	<sup>1</sup> 6.4	6.6
Asset/Portfolio management fees .....	3.0	<sup>1</sup> 2.6	1.7
Other revenue .....	S	S	S

S Data do not meet publication standards because of high sampling variability or poor response quality.

<sup>1</sup>Revised.

<sup>1</sup>Includes NAICS 5231 (securities and commodity contracts intermediation and brokerage) and NAICS 5239 (other financial investment activities).

<sup>2</sup>Excludes NAICS 52391 (miscellaneous intermediation) and NAICS 52399 (all other financial investment activities).

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